

## An Overview of Environmental Conditions and Forecast Implications of the 3 May 1999 Tornado Outbreak

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### ABSTRACT

An overview of conditions associated with the Oklahoma–Kansas tornado outbreak of 3 May 1999 is presented, with emphasis on the evolution of environmental and supercellular characteristics most relevant to the prediction of violent tornado episodes. This examination provides a unique perspective of the event by combining analyses of remote observational data and numerical guidance with direct observations of the event in the field by forecasters and other observers. The 3 May 1999 outbreak included two prolific supercells that produced several violent tornadoes, with ambient parameters comparable to those of past tornado outbreaks in the southern and central Great Plains. However, not all aspects leading to the evening of 3 May unambiguously favored a major tornado outbreak. The problems that faced operational forecasters at the Storm Prediction Center are discussed in the context of this outbreak, including environmental shear and instability, subtle processes contributing to convective initiation, the roles of preexisting boundaries, and storm-relative flow. This examination reveals several specific aspects where conceptual models are deficient and/or additional research is warranted.

### 1. Introduction

During the late afternoon and evening hours of 3 May 1999, a violent tornado outbreak affected portions of central and northern Oklahoma, and southern Kansas. A total of 69 tornadoes were documented from the 10 tornadic supercells (Fig. 1a) that developed over the southern plains that afternoon and evening (National Climatic Data Center 1999). (Other tornado-producing thunderstorms occurred well after 0600 UTC 4 May 1999, but those events were not a direct continuation of the afternoon and evening outbreak and are not included here.) Long-lived, violent (F4–F5 damage) tornadoes occurred in the Oklahoma City, Oklahoma, and Wichita, Kansas, metropolitan areas, as well as in the small towns of Mulhall and Dover to the north and northwest of Oklahoma City. The number of strong and violent tornadoes on 3 May 1999 was comparable to that of 26 April 1991, the most recent major tornado outbreak to affect Oklahoma and Kansas. The environmental buoyancy and shear profiles on 3 May resembled those of several violent Oklahoma tornado events in the past two decades.

However, the 3 May case presented several difficult forecast problems. Surface plots of observations from the Oklahoma Mesonet (Brock et al. 1995) showed that

the initial supercell developed to the east of two weakly convergent drylines, and west of a subtle confluence axis located across southern and central Oklahoma. A thick cirrus overcast reduced heating and boundary layer mixing near and west of the drylines through much of the afternoon. High clouds are generally viewed as a hindrance to convective initiation during the warm season in the Great Plains. However, the initial supercell developed within a break in the thick cirrus overcast, where observed and model forecast soundings suggested that surface temperatures were sufficiently warm to minimize convective inhibition. Additionally, the evolution of the middle- and upper-tropospheric flow was not forecast well by the 0000 and 1200 UTC 3 May 1999 operational models prior to the outbreak. National Demonstration Profiler Network time series (locations mapped in Fig. 1b) revealed the progression of a well-defined jet streak 4–10 km above ground level from eastern New Mexico and western Texas during the late morning of 3 May to western and central Oklahoma that evening. The upper-tropospheric jet streak contributed to greater deep-layer vertical shear than forecast by the operational models, and may have been accompanied by weak large-scale ascent over western Oklahoma during the afternoon.

The intent here is to document the environment and evolution of the 3 May 1999 tornado outbreak from the view of operational forecasters. Field observations by the authors, and other observers, augment the documentation of several tornadic supercells in the outbreak.

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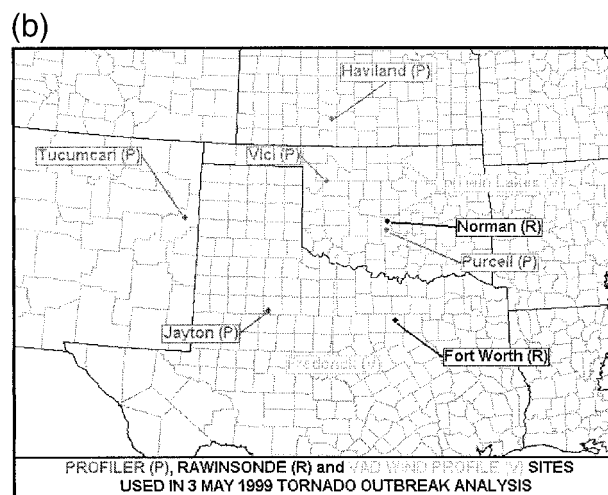
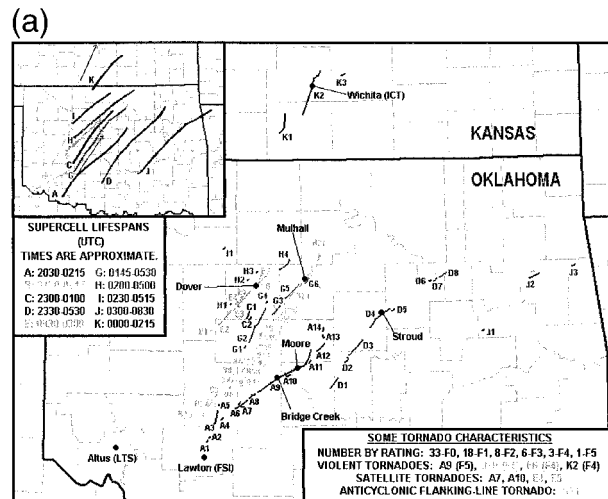


FIG. 1. (a) Tornado map for the 3 May 1999 outbreak in OK and southern KS. Tornado paths are labeled with the letter of the parent supercell (chronological from A to K, with F not used due to potential confusion with the *F* scale), and the sequential number of the tornado with each supercell. The upper-left inset traces the radar-derived paths of the parent right-moving supercells in thick solid lines and left-moving supercells in thin arrows, with life spans of each right-moving supercell noted in the table beneath. The lower-right inset summarizes tornado statistics by *F*-scale damage rating and lists known satellite and flanking line tornadoes. (Adapted from imagery and data provided by the National Weather Service, Norman, OK.) (b) Locations of profiler, rawinsonde, and WSR-88D sites that are discussed in the text.

We believe this unique perspective offers the opportunity to describe the event from the synoptic scale down to the storm scale, and to focus attention on the difficulties faced by operational severe storm forecasters regarding convective initiation and morphology. It is hoped that concerns raised by this study can help focus future severe storm research, with the goal of benefiting operational convective outlooks, watches, and warnings.

The evolution of the synoptic-scale environment is presented in section 2, with specific emphasis on the

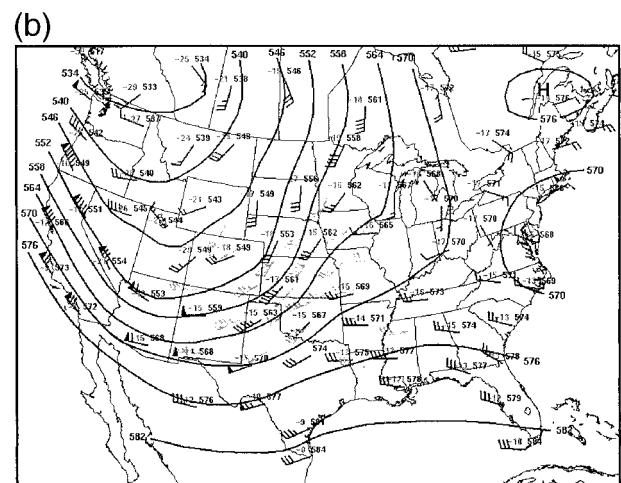
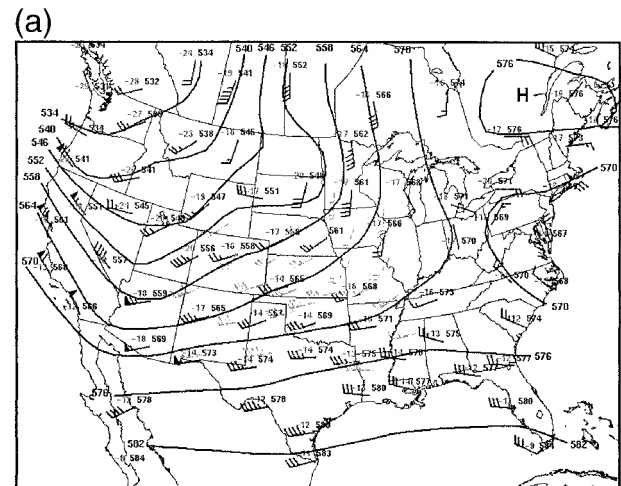


FIG. 2. Observed station model plots and contour analyses of geopotential heights (dam, solid) at 500 mb for (a) 1200 UTC 3 May 1999, and (b) 0000 UTC 4 May 1999. Station model plots show temperature ( $^{\circ}\text{C}$ , upper left), geopotential height (dam, upper right), and plotted wind barbs (kt).

distributions of moisture, instability, and vertical wind shear. Convective initiation and the morphologies of the individual tornadic supercells are addressed in section 3. Section 4 discusses typical and atypical aspects of the 3 May 1999 event, while section 5 summarizes the important aspects of this major regional tornado outbreak and directs attention to the most critical operational forecast concerns in its wake.

## 2. Synoptic environment evolution

### a. Moisture and instability

A mean large-scale trough was located over the western United States at 1200 UTC 3 May (Fig. 2a), with an embedded short-wave trough over Arizona. The large-scale trough amplified over the Rockies by 0000 UTC 4 May, while the embedded short-wave trough

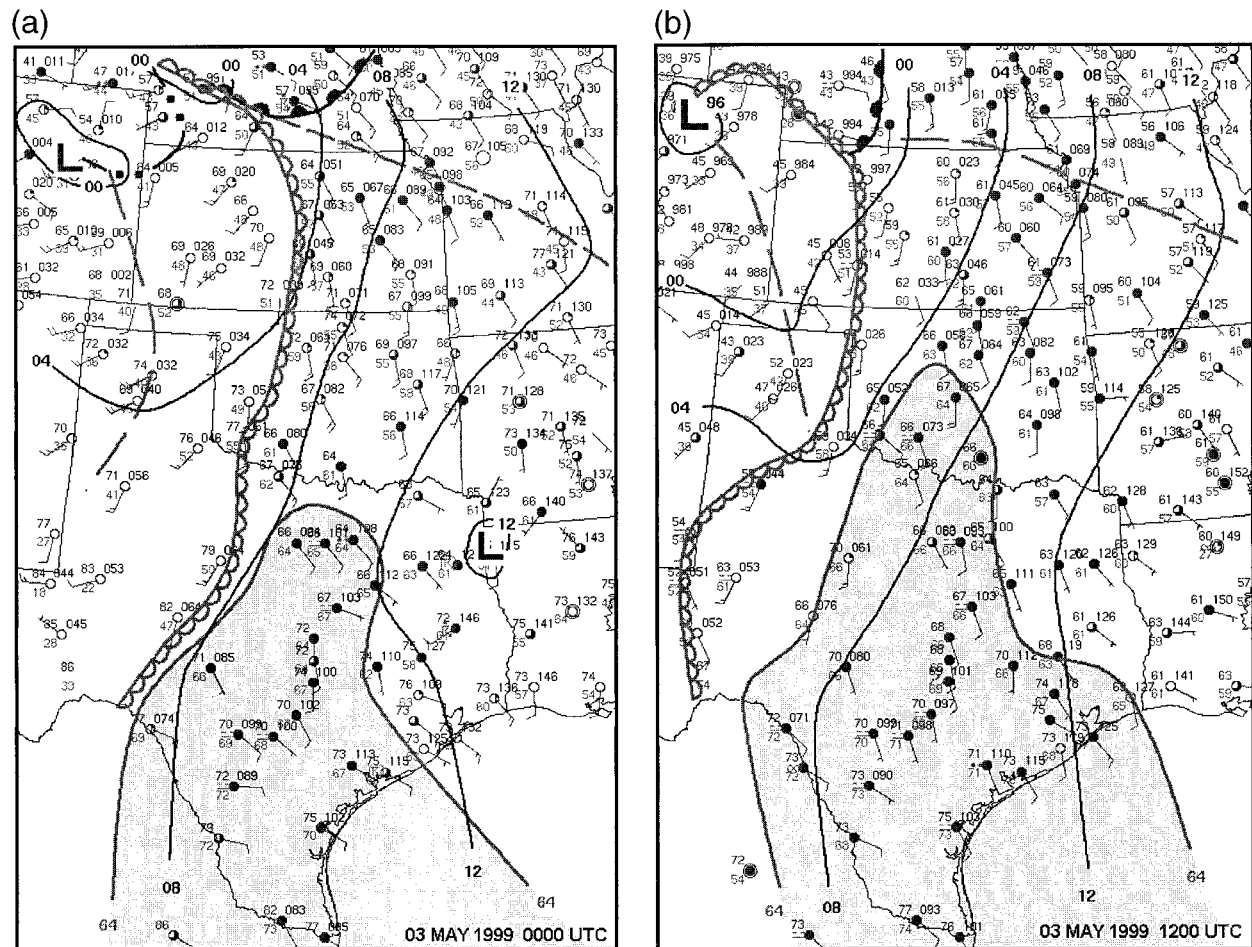


FIG. 3. Conventional station model plots and surface analyses for 3 May 1999 at (a) 0000, (b) 1200, and (c) 2000 UTC. Surface boundary symbols follow standard conventions, isobars are drawn every 4 mb, and the region of  $>64^{\circ}\text{F}$  dewpoints is shaded. A smaller-scale analysis of isotherms (every  $4^{\circ}\text{F}$ ) and the  $68^{\circ}\text{F}$  isodrosotherm is shown in (d). Dryline boundaries are drawn on the warm side of each resolvable

progressed from Arizona to western Oklahoma and Kansas (Figs. 2b and 6e). In association with this deepening midlevel trough and southwesterly flow over the southern and central Rockies, a deepening surface low was located over the central high plains, with low-level south-to-southeast flow in the warm sector over Kansas, Oklahoma, and Texas.

A sequence of 3 May surface analyses and data plots that preceded the tornado outbreak is shown in Fig. 3. Surface dewpoints in the middle-to-upper  $60^{\circ}\text{s F}$  ( $18^{\circ}$ – $20^{\circ}\text{C}$ ) spread northward from central Texas at 0000 UTC (Fig. 3a), to central Oklahoma by 1200 UTC (Fig. 3b), and southern Kansas by 2000 UTC (Fig. 3c). Figure 3d provides a mesoscale view of surface conditions at 2000 UTC in proximity to the initiation of storms A and B, roughly 30 min prior to the earliest deep convection that can be traced to storm A. Diffuse drylines were analyzed along the moist side of two moisture gradients resolvable in Oklahoma Mesonet data. The western boundary denoted what has been traditionally considered the dryline (e.g., Schaefer 1974; Doswell 1982). The moisture

gradient across the eastern boundary was weaker than typically observed with a dryline [e.g., a dewpoint change of  $10^{\circ}\text{F}$  or more between observing stations, after Schaefer (1974)], though the small range of dewpoints (roughly  $58^{\circ}$ – $61^{\circ}\text{F}$ ) between the two analyzed boundaries was supportive of two transition zones in the surface moisture field. The diffuse boundary structures in Fig. 3 apparently were less important than the fact that dewpoints had increased to the upper  $60^{\circ}\text{s F}$  ( $\sim 20^{\circ}\text{C}$ ) and surface temperatures had warmed to the middle  $80^{\circ}\text{s F}$  ( $\sim 30^{\circ}\text{C}$ ) by 2000 UTC in extreme southwestern Oklahoma, which contributed to very large convective available potential energy (CAPE) values and weak convective inhibition (Fig. 4).

The 1200 UTC Norman (OUN) sounding (Fig. 5, background) revealed a moist boundary layer about 1 km deep, beneath an elevated mixed layer located from about 825 to 600 mb. A special 1800 UTC sounding from OUN (not shown) revealed some deepening of the boundary layer from 1200–1800 UTC, with  $2^{\circ}$ – $3^{\circ}\text{C}$  warming between 700 and 500 mb. The 0000 UTC 4

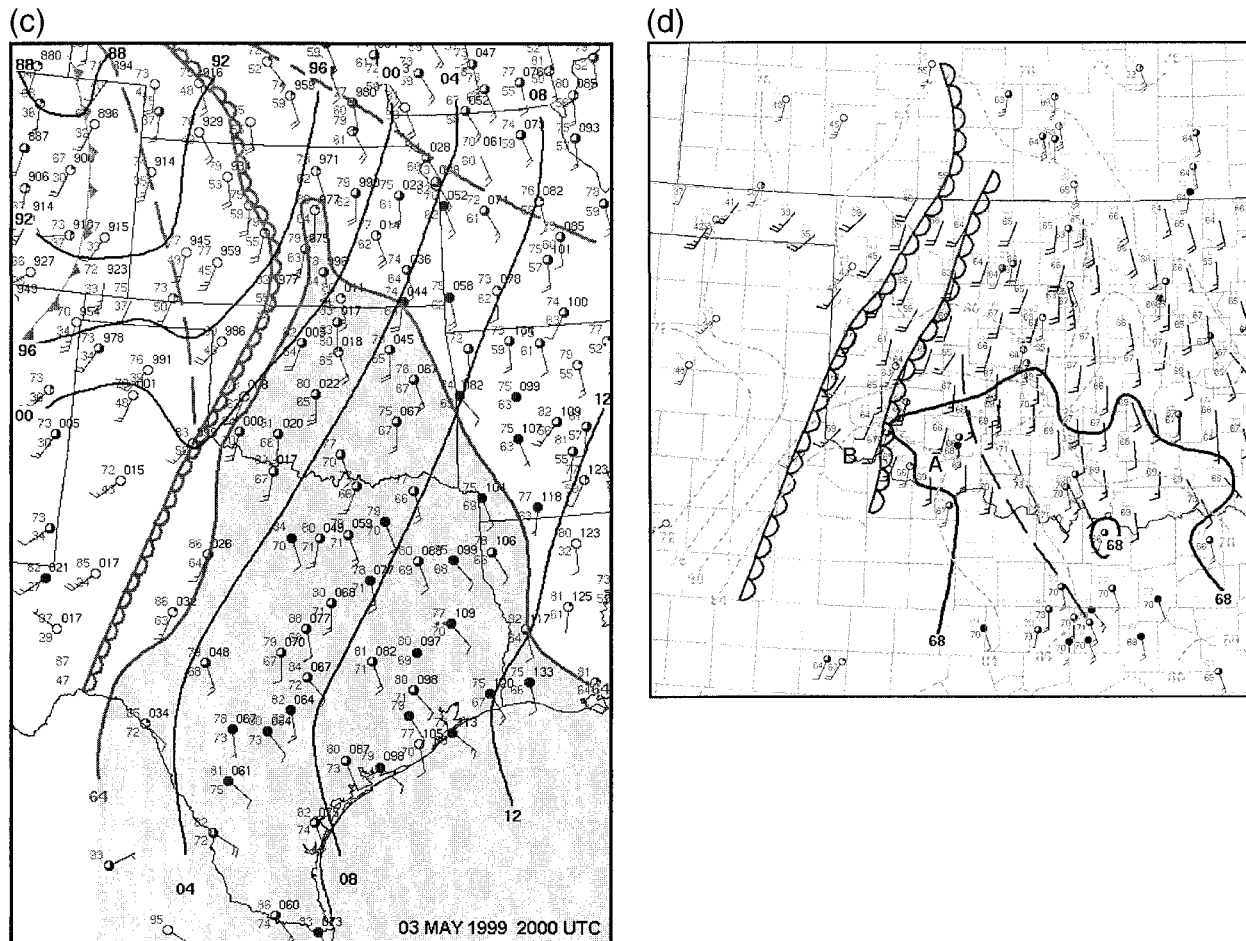


FIG. 3. (Continued) zonal moisture gradient in the region of middle 50°S F or greater dewpoints. The letters A and B denote the genesis points of supercells A and B (refer to Fig. 1 and the text).

May OUN sounding (Fig. 5, foreground) indicated substantial (2°–4°C) warming from 600 mb to the surface during the previous 12 h, with the majority of the warming from 1800 to 0000 UTC confined to the 850–750-mb layer.

Boundary layer depth did not increase substantially after 1800 UTC, although the magnitude of boundary layer dewpoints did increase by about 2°C. Soundings from Fort Worth, Texas (FWD, not shown), revealed low-level warming and moistening similar to that observed at Norman. Both the 1800 and 0000 UTC OUN soundings, modified for surface conditions in proximity to the initial stages of storm A (temperature of 29.5°C and dewpoint of 20.5°C), yielded a mean boundary layer–based CAPE<sup>1</sup> near 5000 J kg<sup>-1</sup>, with less than 10 J

kg<sup>-1</sup> convective inhibition. These CAPE and convective inhibition values were in good agreement with short-term model soundings for southwestern Oklahoma. The unmodified mean CAPE in the 0000 UTC OUN sounding was about 2200 J kg<sup>-1</sup>, with a convective inhibition near 100 J kg<sup>-1</sup>, although the truncated sounding did not allow a complete estimate of CAPE. The moist profile above 500 mb in the 0000 UTC sounding denoted rawinsonde penetration of the anvil of storm A while that storm was producing tornadoes about 25 mi (40 km) to the west-southwest of Norman.

#### b. Vertical shear

As destabilization continued over the southern plains, an upper-tropospheric jet streak moved east-northeastward over Arizona and New Mexico from the mean trough position over the western United States. Although the magnitude of this jet streak was not well resolved in the 1200 UTC 3 May soundings, a much clearer indication of the strength of the speed maximum

<sup>1</sup> CAPE values were calculated with the virtual temperature correction described by Doswell and Rasmussen (1994), based on the mean parcel in the lowest 100 mb. Convective inhibition used the “nonvirtual” lifted parcels, which resulted in larger inhibition values.

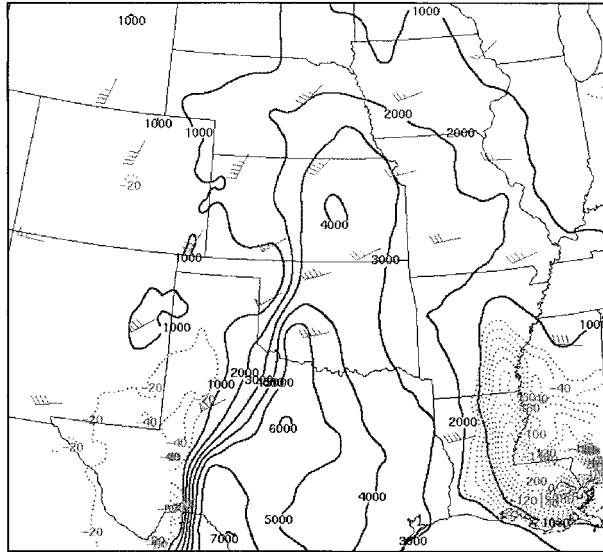


FIG. 4. Plan view of surface-based CAPE ( $\text{J kg}^{-1}$ ), convective inhibition ( $\text{J kg}^{-1}$ ), and 8-km profiler wind barbs (kt) at 2000 UTC 3 May 1999. The CAPE and convective inhibition fields were derived from an objective analysis of surface observations and a Rapid Update Cycle-2 model first-guess field, with temperature and moisture profiles above the surface provided by the 1-h forecast from 1900 UTC valid at 2000 UTC.

was provided by several profilers during the day. Time-height series of profiler winds at Tucumcari, New Mexico, from 1100 to 1600 UTC on 3 May (Fig. 6a), and later plots from Jayton, Texas (Fig. 6b), Haviland, Kansas (Fig. 6c), and Purcell, Oklahoma (Fig. 6d), generally showed 20–45-kt strengthening of the flow in the 4–10-km layer from midmorning over eastern New Mexico to late afternoon over western and central Oklahoma. Thereafter, the passage of a speed maximum in the 4–10-km layer over western Oklahoma was shown clearly by decreasing wind speeds in the 0000–0400 UTC 4 May profiler time series at Vici (Fig. 6e). The observed strengthening of the lower- and middle-tropospheric flow during the afternoon, generally below 8 km, indicated enhanced supercell potential based on simulations (e.g., Weisman and Klemp 1982; Wilhelmson and Klemp 1978). In conjunction with modest backing and strengthening low-level winds noted at Purcell, increasing middle- and upper-tropospheric winds resulted in sufficient deep-layered vertical shear for supercells by early afternoon in the warm sector [e.g., the shear term from the bulk Richardson number (BRN), after Weisman and Klemp (1982)].

The velocity–azimuth display (VAD) wind profile from the Frederick, Oklahoma, Weather Surveillance Radar-1988 Doppler (WSR-88D) (Fig. 7a) revealed sufficient deep-layered vertical shear for supercells (e.g., BRN shear values around  $55 \text{ m}^2 \text{ s}^{-2}$ ) near the time and location of convective initiation. The hodograph was relatively straight through approximately 4 km (Fig. 7a) and supported both left- and right-moving supercells

based on observations and numerical simulations (i.e., Klemp and Wilhelmson 1978; Wilhelmson and Klemp 1978). Observed motions of the mature left and right splits associated with storm B (closer to Frederick than storm A) revealed 0–3-km storm-relative helicity (SRH) values of  $120 \text{ m}^2 \text{ s}^{-2}$  for the right-mover, and  $-46 \text{ m}^2 \text{ s}^{-2}$  for the left-mover. Farther east at Purcell, the 0–6-km shear magnitude increased from about  $25 \text{ m s}^{-1}$  (48 kt) at both 1800 UTC (not shown) and 2300 UTC 3 May (Fig. 6d), to  $30 \text{ m s}^{-1}$  (57 kt) by 0200 UTC 4 May (Fig. 7b). BRN shear values also increased from 62, to 123, to  $166 \text{ m}^2 \text{ s}^{-2}$  at Purcell at these same times, respectively. Hodographs derived from the Purcell winds yielded a dramatic increase in 0–3-km SRH values from roughly  $80 \text{ m}^2 \text{ s}^{-2}$  at 1800 UTC to  $338 \text{ m}^2 \text{ s}^{-2}$  by 2300 UTC (Fig. 6d). Calculated SRH values at 2300 UTC were based on the observed motion of storm A from  $235^\circ$  at  $12 \text{ m s}^{-1}$  (23 kt) and the surface wind from  $140^\circ$  at  $8 \text{ m s}^{-1}$  (15 kt) at Purcell. The SRH values from the Purcell profiler were also in close agreement with those calculated from the 0000 UTC Norman sounding (Fig. 5), and the VAD wind profile from the nearby Twin Lakes WSR-88D (Fig. 15). The hodographs assumed pronounced clockwise curvature, all of which strongly favored right-moving supercells. The large temporal variability in SRH during the late afternoon hours was similar to that noted in previous studies of tornadic supercell environments by Davies-Jones (1993) and Markowski et al. (1998, hereafter M98). After the increase from mid- to late afternoon, SRH values across central Oklahoma remained in the range of  $350\text{--}400 \text{ m}^2 \text{ s}^{-2}$  through the early evening for the observed right-moving supercell motions to the northeast at approximately  $13 \text{ m s}^{-1}$  (25 kt; Fig. 7b).

### 3. Convective initiation and storm-scale observations

All supercells were preceded by the development of two short-lived convective towers over northwestern Texas. This convection formed under a relative gap in the cirrus canopy and between the surface drylines. The initial area of cumulus is shown in Fig. 8a, and the more substantial of these towers is noted in Fig. 8b. The cirrus gap shifted northeastward across southwestern Oklahoma, wherein additional towering cumulus formed southwest of Lawton around 2030–2045 UTC (Fig. 8c). This convection rapidly evolved into a storm split and the first right-moving supercell, storm A in Fig. 1a. Storm B developed explosively within a small cluster of updrafts west of Altus around 2115–2130 UTC (Fig. 8d), just west of the eastern dryline. Figure 8e shows storm A as it moved across the confluence boundary about 20 min prior to the first significant tornado of the outbreak, and as the right split of storm B developed mature supercell characteristics. These two storms were the most prolific tornado producers of the outbreak with a combined total of 35, including the F5

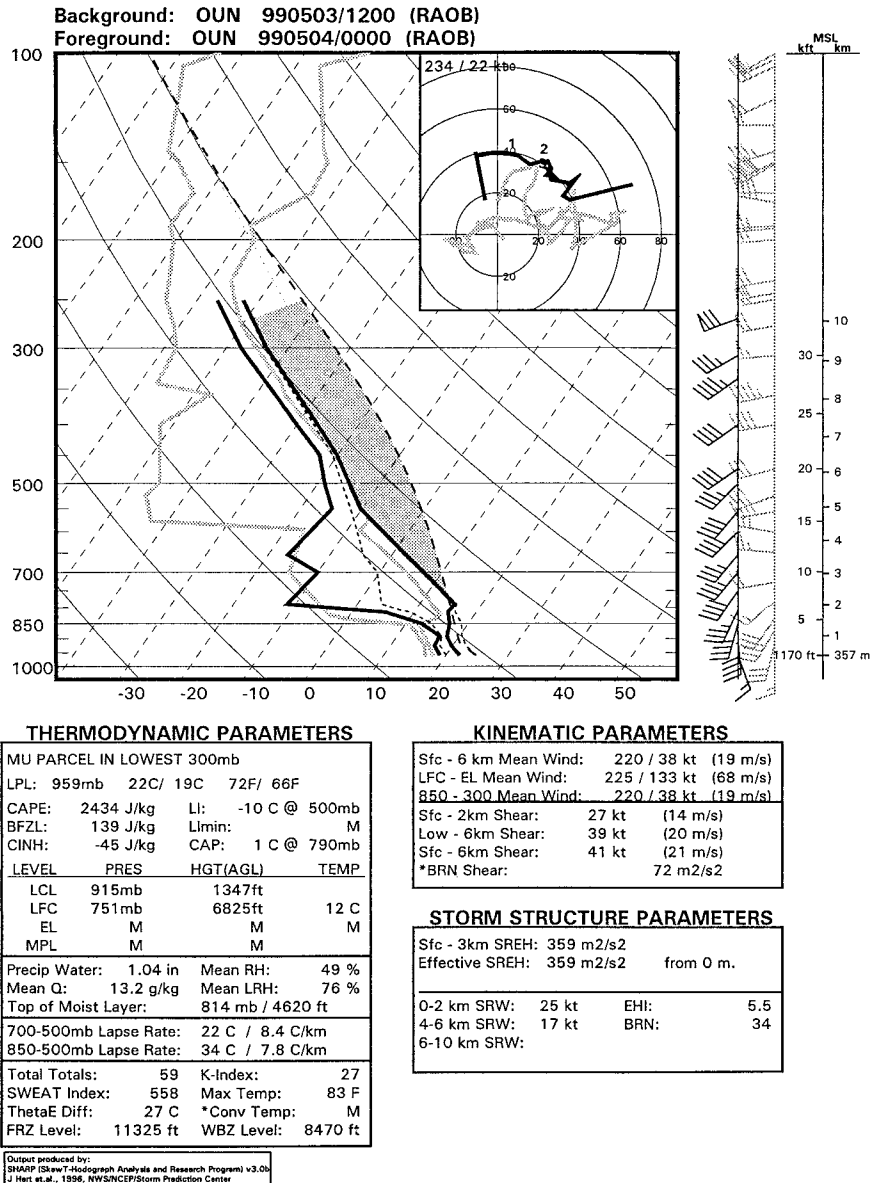


FIG. 5. Skew T diagram overlay of the OUN soundings from 1200 UTC 3 May 1999 and 0000 UTC 4 May 1999. The 0000 UTC sounding is in bold, while the 1200 UTC sounding is in gray in the background. Calculated parameters are for the 0000 UTC sounding.

tornado that moved across the southern Oklahoma City metropolitan area and an F4 tornado that hit Abell and Mulhall. All mention of supercells hereafter refers to the right-moving storms of the 3 May 1999 outbreak.

Other damaging tornadic supercells developed south and west of Oklahoma City around 0030 UTC. Storm D tracked from Purcell to Stroud (Fig. 1), resulting in F3 tornado damage in Stroud around 0330 UTC, while storm E produced a tornado with F4 damage in Dover at about 0230 UTC. Storm D appeared to form near the southeast-northwest-oriented confluence line to the southwest of Purcell, while storm E formed near the intersection of the confluence line and the eastern dry-

line. Another supercell (storm K) produced a violent tornado on the south side of Wichita, Kansas, around 0130 UTC (Fig. 1).

*Supercell character and structure*

The central Oklahoma thunderstorms displayed the visual characteristics of classic supercells (e.g., Lemon and Doswell 1979): large, striated updrafts well-removed from the main precipitation core, rotating wall clouds with associated clear slots, and thin precipitation curtains wrapping around the west side of the low-level mesocyclones. Pictures of these storms (Fig. 9) clearly

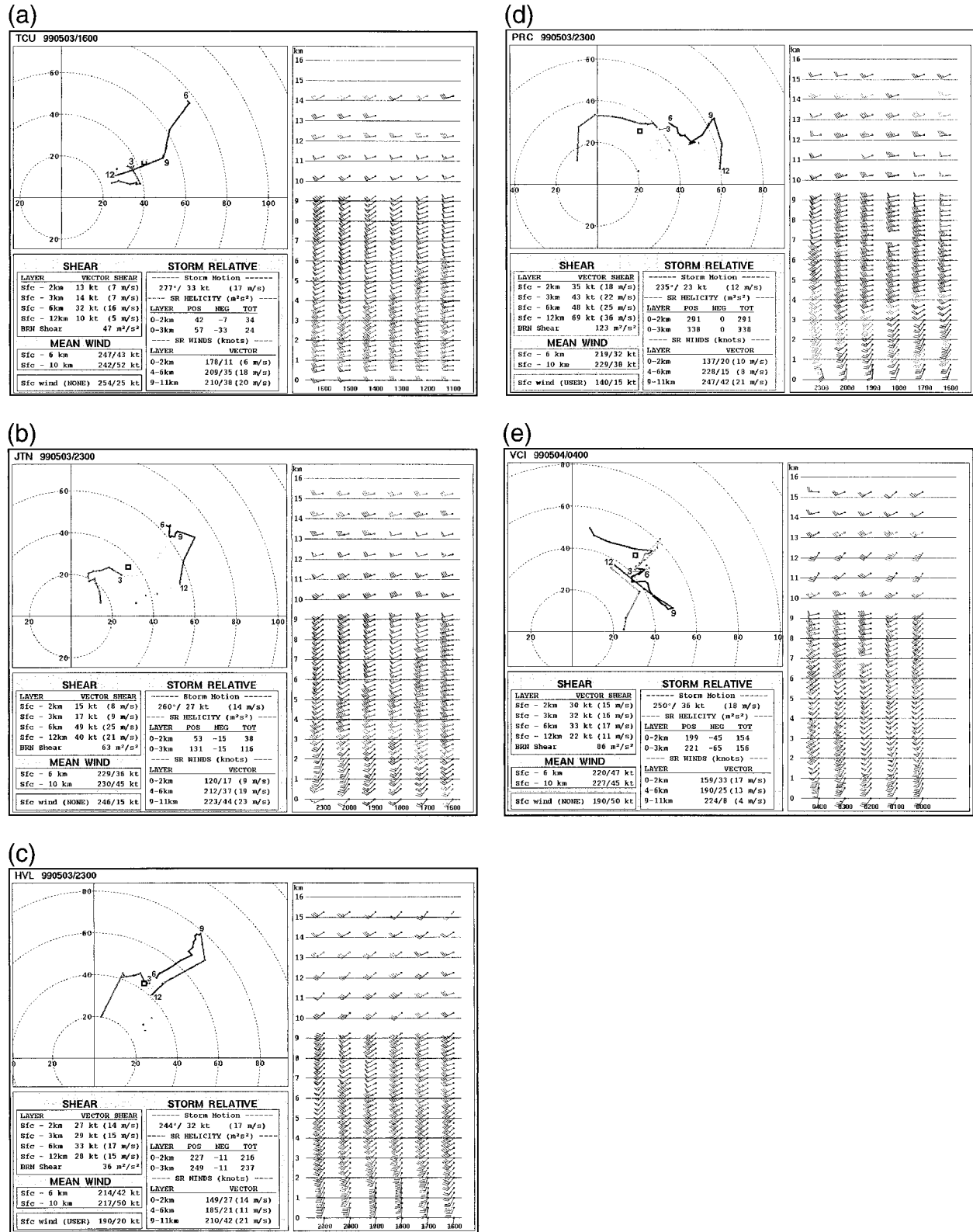


FIG. 6. National Profiler Demonstration Network time series of vertical wind profiles and resultant hodographs from (a) 1100–1600 UTC 3 May at Tucumcari, NM; (b) 1600–2300 UTC at Jayton, TX; (c) 1600–2300 UTC at Haviland, KS; (d) 1600–2300 UTC at Purcell, OK; and (e) 0000–0400 UTC 4 May at Vici, OK. Plotted wind barbs are in kt, with time increasing from right to left, and each hodograph is for the latest time in each plot. The heights above ground level of each 3-km-deep layer are labeled along the hodographs. Note that data were unavailable from 2000 to 2100 UTC.

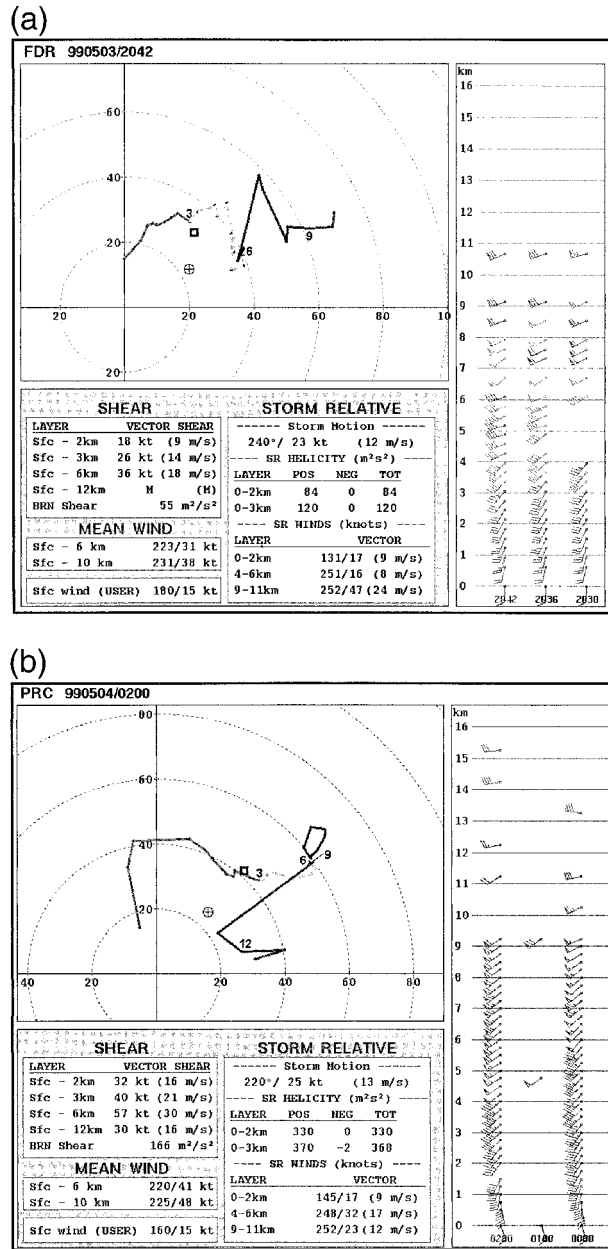


FIG. 7. Vertical wind profiles and hodographs from (a) the velocity-azimuth display wind profile from the Frederick, OK, WSR-88D at 2042 UTC 3 May, and (b) the profiler time series at Purcell for 0200 UTC 4 May (conventions are the same as in Fig. 6).

illustrate the classic supercell storm structures. Storm A and storm B each had laminar cloud structures in the low levels, which indicated forced ascent of parcels through the layer of convective inhibition over central Oklahoma noted in the unmodified 0000 UTC 4 May OUN sounding (northeast of where storms A and B developed).

Storms A and B each were tornadic periodically for 4–6 h. Each appeared to reach a state of balance with its environment, during which it was neither detrimen-

tally outflow-dominant nor deficient. Visual observations, video, and photographs of the Oklahoma tornadic supercells by the authors and others, along with radar reflectivity and velocity signatures, indicated they maintained classic (CL) precipitation distributions about the updraft and forward-flank regions once each storm evolved to its tornadic phase. Indeed, storm-relative winds of 21 m s<sup>-1</sup> in the 9–11-km layer were supportive of classic supercells (see Fig. 6d), in agreement with the findings of Rasmussen and Straka (1998). During the first 1.0–1.5 h of storms A, E, and G, and the first 2.0 h of storm B, the storms exhibited visually skeletal cloud structures, which observers often associate with low-precipitation supercells, but radar imagery actually revealed CL precipitation patterns. Figure 9 shows visual and radar 0.5° elevation reflectivity aspects of the supercells discussed.

Storms A and B each were characterized through the majority of their existence by horizontally enlarging and lowering updraft bases with time, and several episodes of multiple tornadoes within a single mesocyclone. Characteristic of many tornadic supercells (Lemon and Doswell 1979), relatively low cloud bases in the regions of strongest apparent low-level rotation and clear slots were observed almost constantly in storms A and B between the initial tornadic stages and darkness (about 3 h).

Cloud-base heights appeared to lower as each storm passed northeastward over progressively smaller surface dewpoint depressions shown in mesonet data. Similar trends in lifted condensation level (LCL) evolution were found when using surface observations to modify 1800 UTC 3 May and 0000 UTC 4 May observed OUN soundings (Fig. 5), and soundings derived from gridded Rapid Update Cycle-2 model output (not shown). The largest and most damaging tornadoes occurred after the lowering of the LCLs from roughly 1300 m at 2000 UTC where storm A developed in southwestern Oklahoma, to less than 500 m in the Oklahoma City area by 0000 UTC. The region of lower LCLs also coincided with the region of largest SRH east of the subtle confluence boundary in Oklahoma. This conforms to the findings of Rasmussen and Blanchard (1998), whose database of significant tornadic supercells (F2 or greater damage) had lower LCLs in aggregate than either other supercells or nonsupercell thunderstorms. This also conforms to the trend toward increasing tornado threat as SRH increases, as noted by Davies-Jones (1993).

Structures of the other supercells were generally consistent with the observations of storms A and B, though darkness limited visibility of storm structures to those illuminated by lightning, and most observers were concentrated in proximity to the initial supercells.

#### 4. Discussion

##### a. Buoyancy and vertical shear profiles

Some aspects of the evolution of the troposphere followed a typical sequence of events preceding a severe



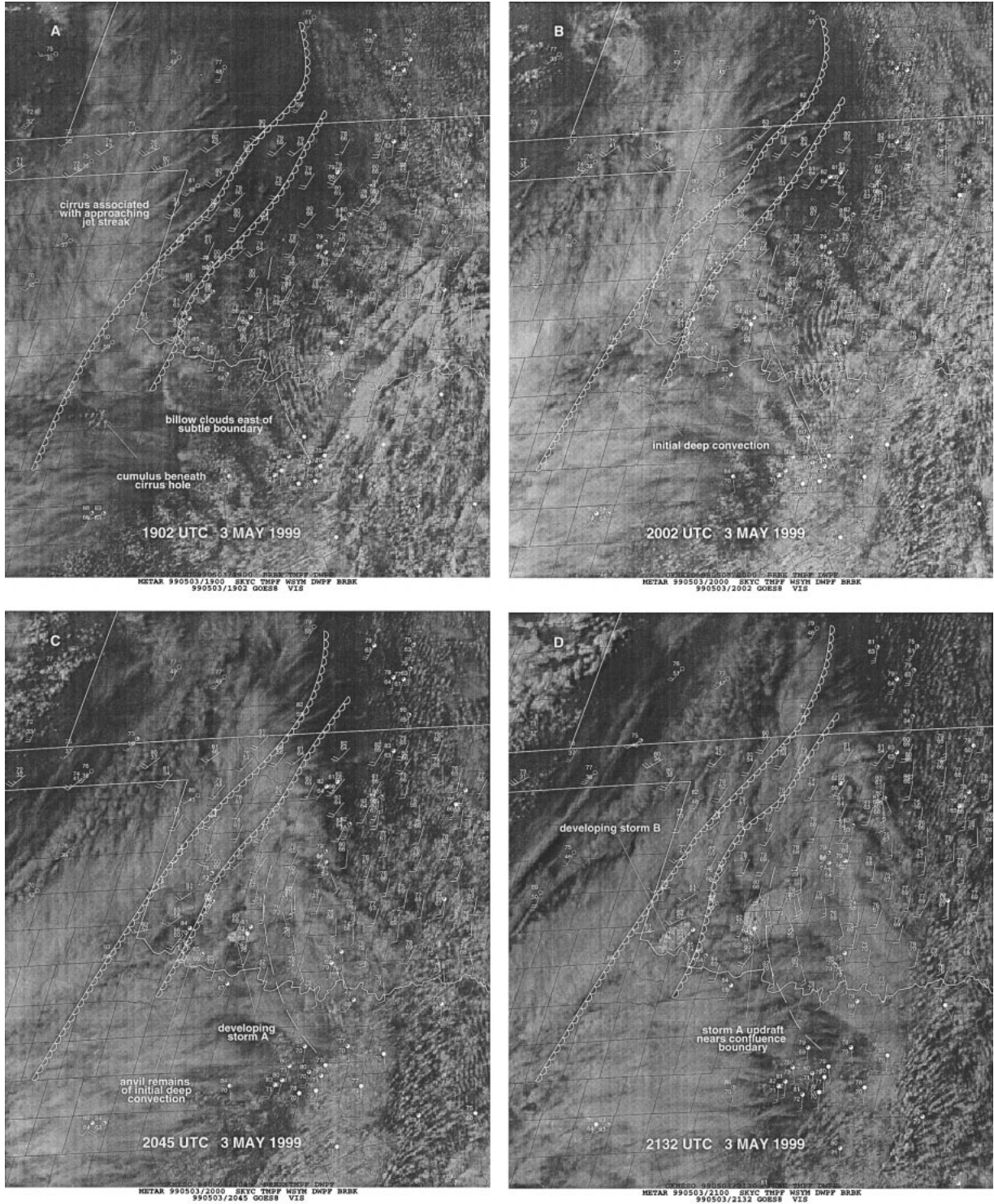


FIG. 8. Series of GOES-8 1-km visible satellite images and associated surface station data plots for (a) 1902, (b) 2002, (c) 2045, (d) 2132, and (e) 2202 UTC. Annotations denote the cloud features discussed in the text, with the surface dryline position(s) marked by the open

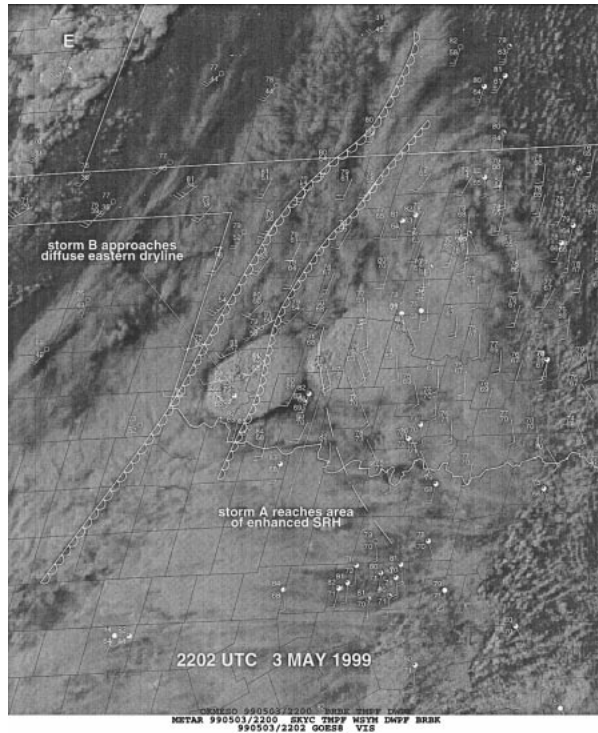


FIG. 8. (Continued) scalloped lines, and a subtle confluence zone across southern Oklahoma marked by a dashed line. Plotted mesonet observations are within 2 min of each respective satellite image, while standard surface observations are from within the hour before each image.

thunderstorm outbreak: steep midlevel lapse rates overspread the area, boundary layer moisture increased, and daytime heating further increased instability. In these regards, 3 May 1999 resembled a “synoptically evident” *severe weather* episode as originally defined by Doswell et al. (1993). However, anticipation of a major *tornado* outbreak was hindered by poor operational model forecasts of wind speeds in the middle and upper troposphere, and associated vertical shear parameters, over portions of the West Coast and southern plains (Fig. 10).

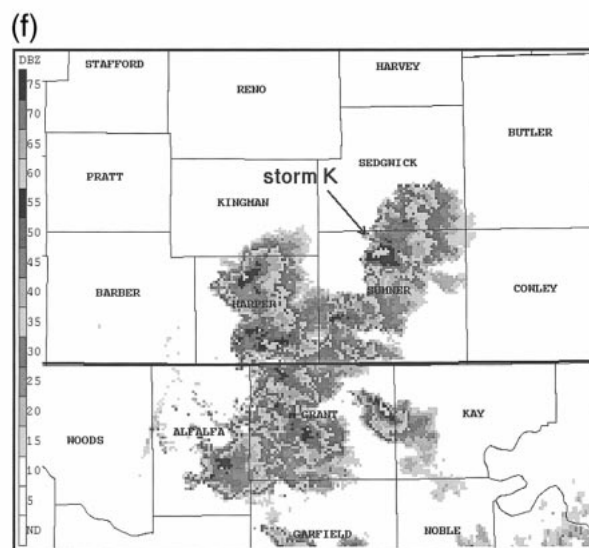
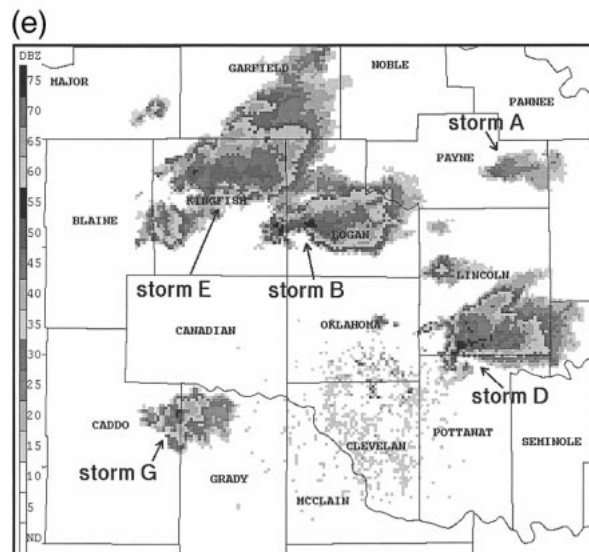
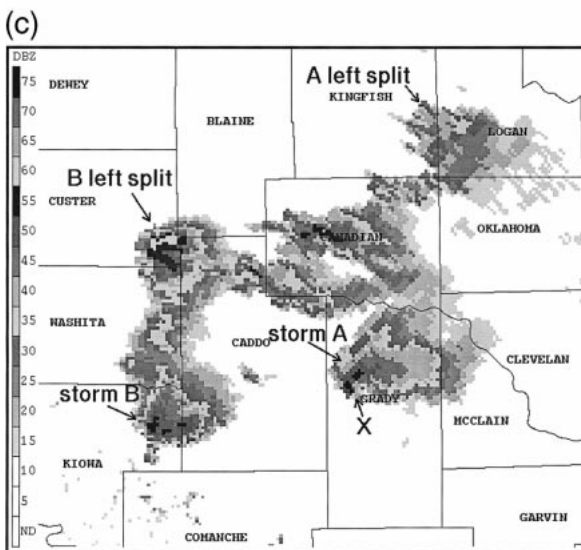
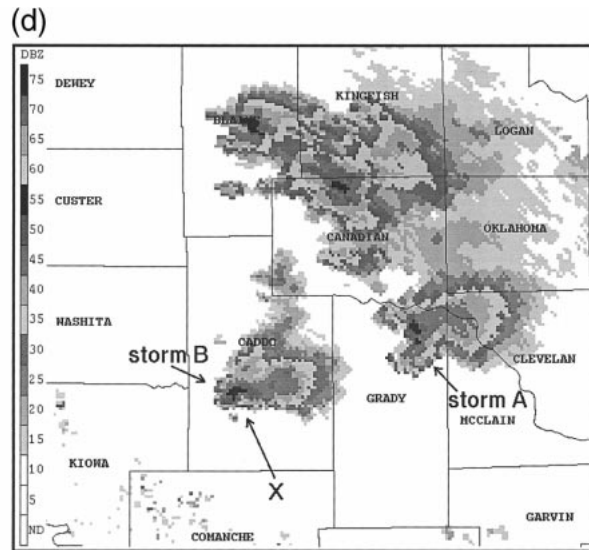
Large-scale pattern recognition, based on numerical model forecasts, did not necessarily suggest a major tornado outbreak would ensue the evening of 3 May 1999. The perceived problems with the pattern were derived from operational model forecasts of, at best, modest vertical wind shear (Fig. 11a), and surface analyses that did not provide a clear focus for convective initiation. However, the *combinations* of large buoyancy (in observations and model forecasts), and vertical shear parameters derived from regional profiler data and soundings during the afternoon and evening of 3 May (Fig. 11b), were *consistent* with other historical tornado outbreaks. To further illustrate this point, 0000 UTC hodographs for OUN and OKC are compared in Fig. 12 for four violent tornado events in central and northern Oklahoma: 4 May 1999, 27 April 1991, 27 April 1984,

and 23 May 1981. In each event, the sounding site was uncontaminated in the low levels by convective outflow, although thermal lapse rates on the 3 May sounding decreased as the radiosonde entered the anvil of storm A at the 551-mb pressure level. Each sounding showed a deep layer of strong positive buoyancy (not shown), and the hodograph structures were remarkably similar in the lowest 3 km. Interestingly, each of the hodographs displayed a pronounced “kink” within the 1.0–1.5-km above ground level (AGL) layer, where a combination of weak veering with height and strong speed shear suddenly changed to strong veering with little speed change. This feature enhances storm-relative inflow in the boundary layer, and may be related to low-level mesocyclone intensity and associated tornado potential based on the results of model simulations by Wicker (1996).

#### b. Convective initiation and low-level boundaries

Surface analyses revealed a dryline on the mesoscale to synoptic scales, which appeared to be a diffuse double-dryline structure in finer-scale analyses over northwestern Texas and western Oklahoma by midafternoon on 3 May 1999 (Fig. 3). A deep surface low existed well to the northwest of Oklahoma. However, surface convergence along each 3 May dryline was ill-defined, and the moisture gradient was not particularly large across either dryline. Ziegler and Hane (1993) suggested that boundary layer convergence is critical for maintaining a pronounced moisture gradient across a dryline, as well as for thunderstorm initiation along it. In fact, the initial supercell developed about 50 km *east* of the easternmost surface dryline position (Figs. 3d and 8c). Also, a large plume of cirrus developed in the lee of the Rockies over eastern New Mexico by midmorning on 3 May. The cirrus overspread much of the Texas Panhandle and western Oklahoma by early afternoon (Fig. 8a), and raised questions about continued heating and mixing in the boundary layer through midafternoon. Small temperature decreases and dewpoint increases across the central and southern Texas Panhandle from 2000 to 2200 UTC (Figs. 8b and 8e), combined with small temperature increases and dewpoint decreases farther north in the Oklahoma Panhandle, indicated shadowing and weaker vertical mixing under the dense high cloud canopy across the Texas Panhandle. The widespread high clouds and lack of convergence in the dryline regions introduced considerable uncertainty regarding the timing and location of convective initiation. However, the gap in the cirrus appeared to be crucial in allowing continued surface heating and mixing to maintain weak enough capping for the initiation of both short-lived cumulonimbi in northwestern Texas and long-lived storms A and B in southwestern Oklahoma.

The 0000 UTC OUN sounding, modified for surface mesonet observations in southwestern Oklahoma, showed little convective inhibition and a level of free



convection (LFC) within 2 km of the ground. This thermodynamic profile suggested that relatively weak mesoscale lift may have been sufficient to initiate deep convection. Forecast fields from the operational Eta Model runs at 1200 and 1800 UTC 3 May showed divergence in the 300–200-mb layer and weak quasigeostrophic forcing for ascent over western Oklahoma from 500 to 300 mb between 1800 UTC 3 May and 0000 UTC 4 May. The model also suggested weak ascent from 850 to 700 mb, although ascent over western and central Oklahoma may have been offset by the warming noted in this layer from 1800–0000 UTC at OUN. Upper-tropospheric divergence and possible weak inertial instability in the upper troposphere (Fig. 13) may have also contributed to a favorable environment for strong storm-top divergence and sustained updrafts, perhaps similar to the arguments presented by Blanchard et al. (1998).

On a smaller scale, storm A appears to have formed near the updraft portion of a large horizontal convective roll (HCR) in the boundary layer. Though no cloud streets were observed in 1-km visible satellite imagery, the apparent HCR was denoted by a meridional band in  $1.5^\circ$  elevation base reflectivity data from the Frederick radar site; the radar site was located about 25 km west of where storm A began (Fig. 14). The fine line was oriented parallel to the boundary layer flow, and Wilson et al. (1994) have established coincidence between convective boundary layer updrafts and reflectivity fine lines in clear air mode. This feature conformed to radar and shear-based characteristics for HCRs established by Weckwerth et al. (1997), who also found that HCRs are sometimes not apparent as visible cloud streets. The depth of the feature (1400–1500 m above ground level) appeared to be within a few hundred meters of the LFC height derived from modified soundings (roughly 1700 m above ground level); therefore, an HCR updraft was a potential mechanism for convective initiation. While such a mode of thunderstorm formation may be common, the presence and depth of HCRs are difficult to anticipate and detect in an operational setting. Forecasts for convective initiation will necessarily have large uncertainty when HCRs or other subtle boundary layer processes dominate, given the sensitivity of moist convection to temperature and moisture in the boundary layer (e.g., Crook 1996).

Finally, visible satellite imagery revealed a series of billow clouds oriented meridionally over northern Texas and south-central Oklahoma (Fig. 8a), to the east of

where storms A and B developed. These clouds were associated with a relatively shallow, capped boundary layer with backed surface winds compared to northwestern Texas and southwestern Oklahoma. As the southwestern Oklahoma storms approached central Oklahoma, they encountered the confluent zone and associated backed surface winds, which yielded 0–3-km SRH values of 300–400  $\text{m}^2 \text{s}^{-2}$  (Figs. 6d and 7b). Both storms A and B produced brief tornadoes near and west of the subtle confluence boundary, but tornadoes became more numerous and progressively more intense as the storms moved through the region of increased SRH and lower LCL heights to its east. The air mass in this region, which coincided with the location of the billows prior to being obscured by high clouds, still contained surface-based CAPE values in excess of 3000  $\text{J kg}^{-1}$ . However, the longevity of the storms after 0000 UTC was in question operationally because of the stronger cap well east of the confluence boundary. Indeed, storm A ceased producing tornadoes by 0130 UTC (less than an hour after devastating the southern Oklahoma City area), and dissipated shortly after 0200 UTC (see Fig. 9e). To complicate matters further, storm D reached peak intensity only 30 mi south of where storm A dissipated. These observations underscored the difficulties faced by forecasters in anticipating storm longevity and tornado production in an evolving environment with subtle, but important, variations.

The tendency of storms A and B to produce significant tornadoes after crossing the surface boundary appears to be consistent with the observations of M98, although the confluence boundary in this case was subtle, even in the relatively dense Oklahoma Mesonet surface observations. Another storm-boundary interaction occurred farther north, when the Wichita supercell (storm K) crossed a boundary marked by a westward-moving band in  $0.5^\circ$  elevation reflectivity data, thereafter producing a violent tornado.

### c. Variations from classic supercell structures

At least two possible cases of destructive storm interference were observed during the tornado outbreak, namely with storms D and K. The Wichita supercell (storm K) weakened substantially after being overtaken by a large area of thunderstorms from the southwest, while the Purcell–Stroud supercell (storm D) also was structurally altered by convection that formed and merged with the supercell from the southwest. Trends

←

FIG. 9. Visual appearance of the updraft regions of (a) supercell A as viewed from approximately 6 mi to the south-southeast (image copyright 1999 R. Edwards), and (b) supercell B as viewed from approximately 10 mi to the south-southeast (image copyright 1999 C. Eads). The corresponding  $0.5^\circ$  elevation Frederick radar reflectivity images nearest to photo times are shown for (c) supercell A at 2307 UTC 3 May (amidst an outage of the closer Twin Lakes radar), and (d) supercell B at 2337 UTC 3 May. The photographers' locations are marked by  $\times$ s in each image, with an arrow denoting direction of view. (e) The  $0.5^\circ$  reflectivity image from the Twin Lakes radar of supercells A, B, D, E, and G at 0217 UTC 4 May, and (f) the  $0.5^\circ$  reflectivity view of supercell K from the Vance Air Force Base radar at 0054 UTC 4 May. Counties are labeled on the background map. Refer to Fig. 1 for storm lettering conventions.

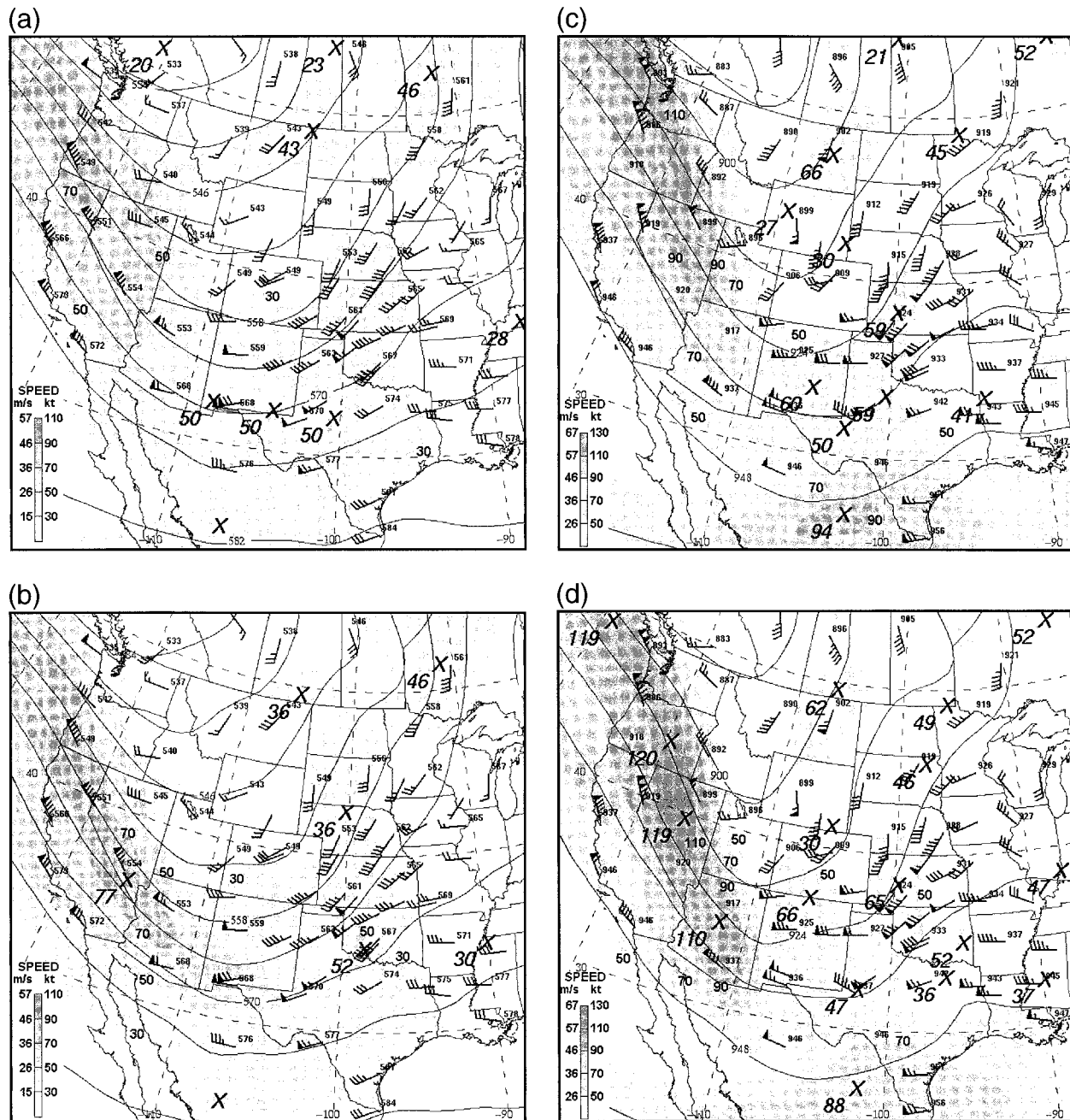


FIG. 10. Overlays of the Eta Model forecasts of isotachs (kt) and geopotential heights (dam) valid at 0000 UTC 4 May 1999 for (a) 24 h, 300 mb; (b) 12 h, 300 mb; (c) 24 h, 500 mb; and (d) 12 h, 500 mb. The 24-h forecast was from the 0000 UTC 3 May Eta Model run, and the 12-h forecast was from the 1200 UTC 3 May Eta Model run. Shading denotes areas of 30 kt or greater wind speeds, with additional gradations noted at 50, 70, 90, and 110 kt (see scale in lower-left corner and labels within the figure). Each large  $\times$  marks the location of a relative speed maximum. Verifying rawinsonde and profiler wind barbs (kt) from 0000 UTC 4 May are plotted on each image.

in radar imagery suggested that storm D evolved in a manner quite similar to the other violent tornadic supercells in central Oklahoma until the disruption of its classic structure by the merging precipitation areas.

In addition, not all wind profile parameters were strongly supportive of classic supercells with major tornadoes. A model-forecast weakness in storm-relative

winds in the middle troposphere was seen as a potential limiting factor for significant tornadoes at the onset of the outbreak. It has been suggested by Brooks et al. (1994) and Thompson (1998) that weak storm-relative winds in the middle troposphere can lead to excessive cold outflow generation in the rear-flank region of a supercell. This cold outflow can then undercut the mid-

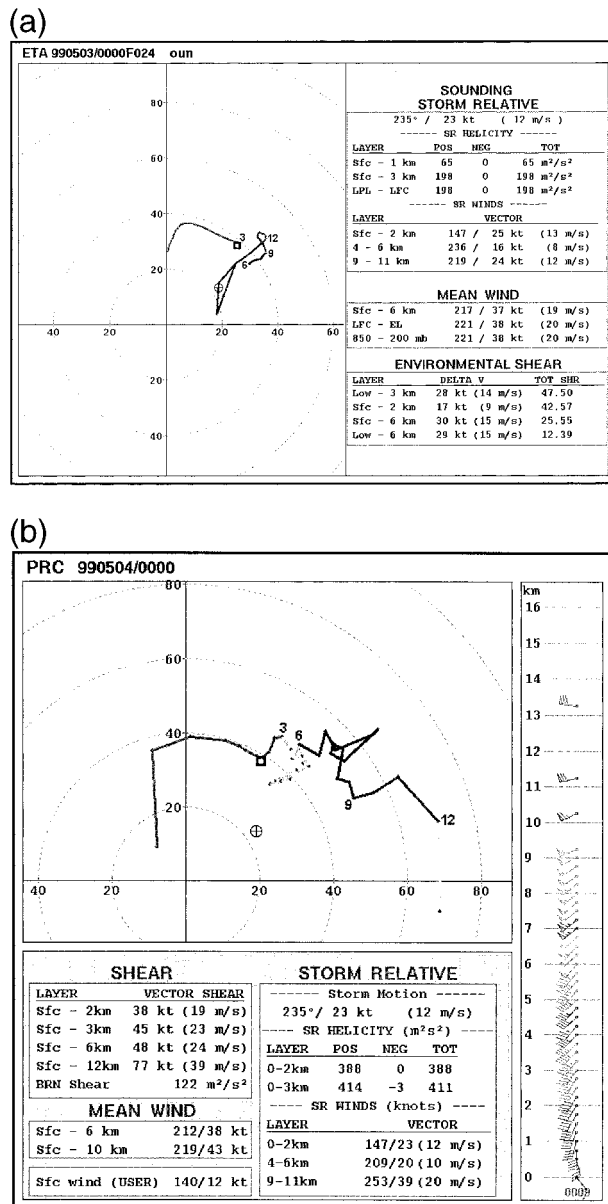


FIG. 11. (a) Eta 24-h forecast hodograph valid at 0000 UTC 4 May for Norman, and (b) the verifying profiler hodograph from nearby Purcell at 0000 UTC 4 May. (Note that the Purcell profiler provided a more complete wind profile than the truncated 0000 UTC Norman sounding.)

level mesocyclone prior to the formation of significant tornadoes, if not balanced by sufficiently strong storm inflow. Both the Purcell profiler at 2300 UTC 3 May and the Norman sounding at 0000 UTC 4 May revealed storm-relative winds in the 4–6-km layer that appeared to be marginal for sustained supercells with significant tornadoes (after Thompson 1998). However, actual wind speeds in the 3–6-km layer increased from 17 to 25 m s<sup>-1</sup> (about 35–50 kt) between 2300–0200 UTC at Purcell, and corresponding 4–6-km storm-relative winds

increased from 8 to 17 m s<sup>-1</sup> (about 15–35 kt) by 0200 UTC (Fig. 7b). Storm-relative winds derived from the Twin Lakes WSR-88D VAD wind profile, located about 15 mi north-northeast of the Purcell profiler, also were similar to those from Purcell. The severity of the 3 May tornado outbreak tended to increase in conjunction with increasing midtropospheric storm-relative flow at the Purcell profiler site from 2300 to 0200 UTC, and as multiple supercells encountered the region of enhanced SRH to the northeast of the subtle confluence boundary.

Interestingly, the wind profiles from the Purcell profiler, the Norman sounding, and the Twin Lakes radar site all conformed to common “proximity” standards for storm A (e.g., Darkow 1969; Brooks et al. 1994). However, storm A was located 25 mi (40 km) or more to the west of these sites until about 2330 UTC and, therefore, somewhat closer spatially to the intensifying flow fields observed at Tucumcari and Jayton earlier in the day. A dramatic short-term increase in vertical wind shear and storm-relative winds also was indicated by the Twin Lakes WSR-88D VAD wind profile from 2348 UTC 3 May to 0018 UTC 4 May (Fig. 15), as storm A approached and passed only 10 km northwest of the radar site. This observation supports simulations by Weisman et al. (1998), which yielded 0–6-km shear perturbations of 8 m s<sup>-1</sup> (about 15 kt) or more extending nearly 30 km ahead of a supercell for hodographs comparable to those observed in central Oklahoma. The general increase in wind speeds and vertical shear during the period 2300–0200 UTC, as well as the potential influence of storm A on the Twin Lakes VAD wind profile, suggest that there may not have been a *single* wind profile that correctly characterized the environment of storm A.

### 5. Summary and implications

The 3 May 1999 event in Oklahoma showed some general large-scale characteristics of historical severe thunderstorm outbreaks in the southern and central plains. A mean trough was located over the Four Corners area with a deep high plains surface low and an unstable warm sector. An embedded mid- to upper-tropospheric jet streak moved east-northeastward from the mean trough during the afternoon of the outbreak. However, the embedded jet streak was not resolved well by the operational models prior to the outbreak, and surface convergence in proximity to the drylines was ill-defined through the afternoon. Additionally, a large area of high clouds overspread the drylines and warm sector during the afternoon, which complicated forecasts of convective initiation.

There are several important points to be learned from the 3 May outbreak. First, this event illustrates that outbreaks of strong and violent tornadoes are not necessarily associated with what many operational forecasters would consider to be the most evident large-scale patterns in numerical model output. While the potential for a severe weather episode was anticipated by Storm Pre-

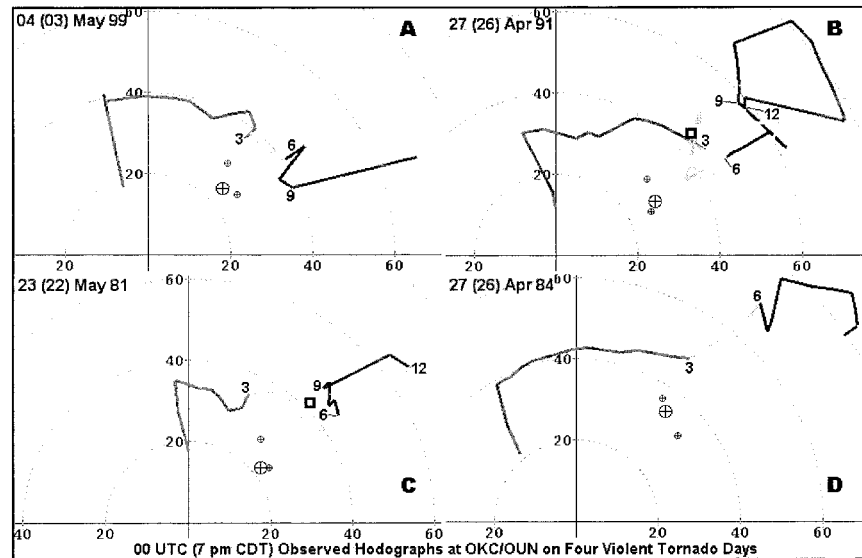


FIG. 12. Hodographs during four central or northern Oklahoma tornado outbreaks that contained at least one violent (F4 or F5 damage) tornado, derived from these 0000 UTC soundings: (a) Norman, 4 May 1999; (b) Norman, 27 Apr 1991; (c) Oklahoma City, 23 May 1981; and (d) Oklahoma City, 27 Apr 1984. The local standard time date of each outbreak is in parentheses, and labeling conventions are the same as in Fig. 6.

diction Center forecasters a day in advance, a major tornado outbreak was not forecast initially for 3 May 1999. Much of the difficulty in anticipating the magnitude of the tornado threat 12–24 h in advance was the result of poor numerical model forecasts of mid- and upper-tropospheric flow, and associated deep-layered vertical shear. However, observational data suggested a substantially greater and increasing threat of supercells with significant tornadoes, based on parameters derived from surface analyses and profiler data from midmorning to early evening on 3 May 1999. Previous May–June events in the plains with strong and violent tornadoes have been characterized by large CAPE values (3000–5000 J kg<sup>-1</sup>) and *sufficient* vertical shear for supercells (e.g., 0–6-km shear magnitudes in excess of 20 m s<sup>-1</sup>, BRN shear greater than 40 m<sup>2</sup> s<sup>-2</sup>, and 0–3-km SRH values of 150–300 m<sup>2</sup> s<sup>-2</sup>), based on standard observations. The truncated 0000 UTC 4 May OUN sounding yielded 0–2-km SRH and lowest 100-mb mean CAPE values that fell well within the distributions presented by Johns et al. (1993) for other strong and violent tornadoes. So did the same parameters derived from soundings modified to represent (to the best of observational ability) near-storm conditions less than an hour prior to the formation of the initial supercell in the 3 May outbreak (Fig. 16).

Between its development and violent tornado production, storm A appeared to shift markedly from the high-CAPE and low-shear extreme of the Johns et al. (1993) diagram toward the midrange of the parameter space (Fig. 16). Also, the kinematic observations in central Oklahoma from 2300–0200 UTC suggested that storm characteristics may not always be predictable, es-

pecially when the mesoscale storm environment is changing with time. This problem is compounded when a storm simultaneously affects nearby observations as evident in the Twin Lakes VAD wind profile data just after 0000 UTC (Fig. 15), that is, when the storm's influence may be superimposed on changes in the background wind profile. This illustrates the need for operational forecasters and researchers alike to consider the CAPE–shear parameter space for any given thunderstorm as fluid with respect to time, not static. The concept of significant, deep tropospheric environmental evolution throughout an individual storm's lifetime should become a fundamental inclusion in storm-scale numerical modeling studies of supercells.

When forecasting a threat of tornadoes, the mode of convective initiation and the number and spacing of supercells that form are critical to the number of tornadoes expected. In the same mesoscale region, several supercells may develop in association with different forms of boundaries. These boundaries vary in detectability when using conventional data sources, and storms may form where there are no apparent boundaries. The initial storms in the 3 May 1999 outbreak evolved into tornadic supercells that each lasted several hours, with no early transition to a squall line or other convective mode. Storm spacing and motions were such that the supercells remained in an environment of favorable vertical shear and instability for several hours without numerous storm collisions, thus allowing the supercells to produce a large number of tornadoes.

The predominance of a supercell convective mode and lack of a squall line on 3 May 1999 may have been attributable to the lack of strong low-level convergence

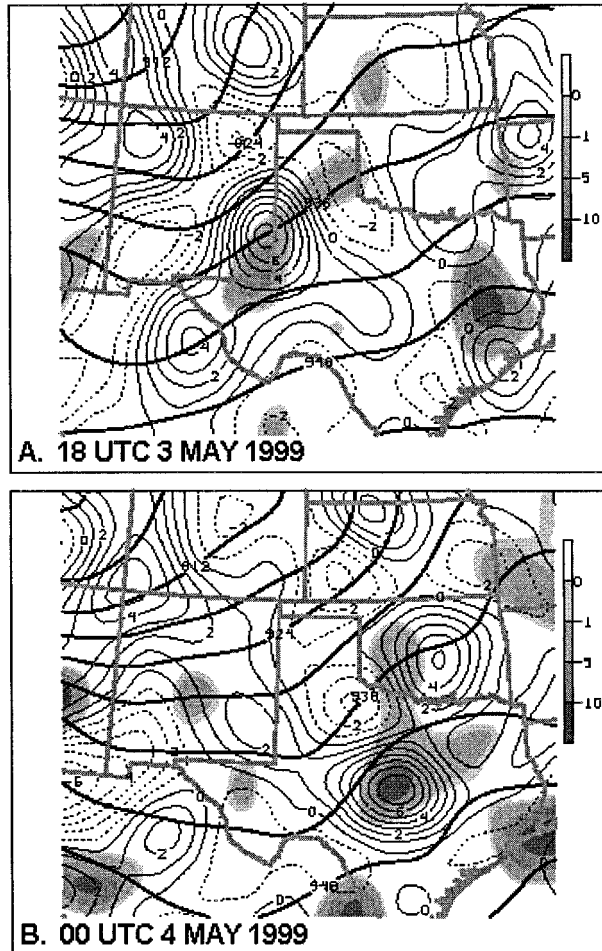


FIG. 13. Eta Model initial analyses of 300-mb geopotential height (dam), divergence (solid lines  $1 \times 10^{-5} \text{ s}^{-1}$ , dashed lines represent convergence), and negative absolute geostrophic vorticity (shaded area, with gradations at  $0$ ,  $-1 \times 10^{-5} \text{ s}^{-1}$ ,  $-5 \times 10^{-5} \text{ s}^{-1}$ , and  $-10 \times 10^{-5} \text{ s}^{-1}$ ) at (a) 1800 UTC 3 May 1999, and (b) 0000 UTC 4 May 1999. The shaded areas of negative absolute geostrophic vorticity represent areas of inertial instability. (Courtesy of D. Schultz, National Severe Storms Laboratory.)

near the dryline(s). It is conceivable that the outbreak would not have materialized in such intense or prolific form had the convergence been stronger along a consolidated dryline, and had numerous storms formed simultaneously and merged into a larger-scale convective system in the weakly capped environment over northwestern Texas and western Oklahoma during the afternoon. However, on forecast times greater than mesoscale, that same lack of convergence in the area of drylines suggested that supercells might *not develop at all*, consistent with the ideas of Ziegler and Hane (1993). This presented a major forecasting challenge in two ways: 1) narrowing the spatial threat for supercell concentration on the synoptic timescale (roughly 12 h or more), and 2) diagnosing and nowcasting subtle mesoscale features not associated with distinct thermo-

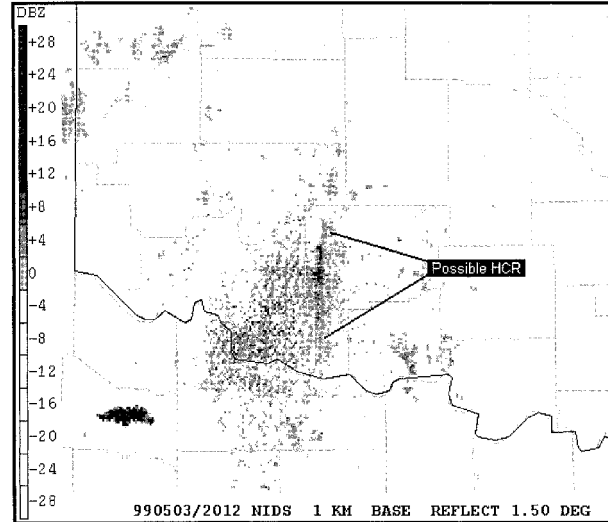


FIG. 14. Filtered "clear air mode" radar reflectivity data (dBZ) at the  $1.5^\circ$  elevation angle from Frederick at 1212 UTC 3 May 1999. The annotation identifies the reflectivity signature of a possible HCR immediately east of the radar site. Reflectivity values less than  $0 \text{ dBZ}$  have been removed for clarity.

dynamic discontinuities (such as fronts, singular drylines, outflow boundaries, etc.).

In this case, subtle clues/precursors to storm initiation were present primarily in high-resolution visible satellite imagery (e.g., the cumulus towers beneath the cirrus hole) and nearby WSR-88D data (e.g., the possible HCR). The complex dryline, confluence zone, and HCR structures identified during this event each demonstrate the importance of high-resolution, lower-tropospheric observational data to the real-time diagnosis of subtle boundaries and their subsequent effects on storm initiation and organization. As illustrated in our analyses

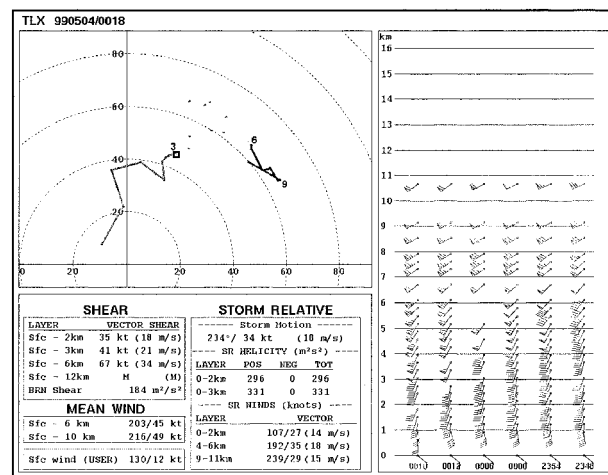


FIG. 15. Time series from the Twin Lakes WSR-88D VAD wind profile from the period 2348 UTC 3 May to 0018 UTC 4 May showing the increase in midlevel wind speeds as storm A moved approximately 10 km northwest of the radar site.



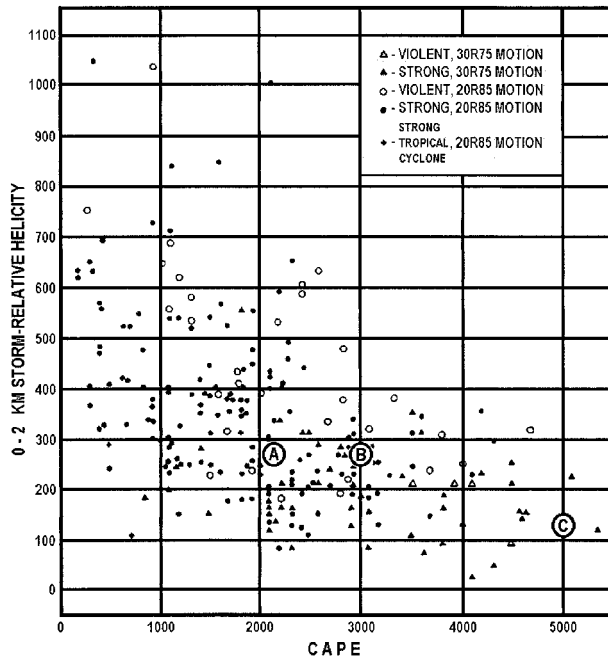


FIG. 16. Scatterplot of lowest 100-mb mean-parcel CAPE (abscissa) vs 0–2-km SRH (ordinate) for the dataset of 242 significant (F2 damage or greater) tornadic supercells collected by Johns et al. (1993). Locations of various estimates of CAPE and 0–2-km SRH from 3 to 4 May 1999 are overlain for comparison, using (a) unmodified (truncated, with incomplete CAPE) 0000 UTC OUN sounding, (b) 0000 UTC OUN sounding with 1800 UTC thermal profile attached above truncation, and (c) 1800 UTC OUN sounding modified using these input conditions as an idealized estimate of proximity conditions to the genesis point of storm A: 2100 UTC Tillman County mesonet wind, temperature, and dewpoint; and Frederick velocity–azimuth display winds between surface and 2 km AGL.

and in another complex dryline case (Brooks et al. 1995), output from observing systems of coarser spacing, including the conventional network of surface reporting stations, may be insufficient to resolve such features. Even in the presence of mesoscale surface observations, forecasters also may need to rely on careful interpretation of available satellite and radar imagery to recognize small areas where convective initiation is possible.

A majority of significant tornadoes during the 1995 version of the Verification of the Origins of Rotation in Tornadoes Experiment occurred in association with surface boundaries (M98). Furthermore, recent numerical simulations indicated strong relationships between mesocyclone intensity and longevity, and the baroclinic strength and orientation of low-level boundaries (Atkins et al. 1999). However, low-level baroclinic boundaries were either ill-defined or not present during the 3 May outbreak. This illustrates that the apparent weakness or absence of such boundaries in the warm sector does not preclude significant tornadoes, given the initiation of supercells in an otherwise highly favorable environment characterized by large CAPE, strong deep-layered vertical shear (e.g., 0–6-km shear or BRN shear), and strong

low-level shear (e.g., 0–3-km SRH). Still, the roles of a wide variety of preexisting boundaries in convective initiation and tornadogenesis, including weakly convergent drylines, HCRs, confluence axes, and various reflectivity patterns not evident in surface and satellite data, warrant much additional attention. Observations suggested that *subtle* boundaries were important to the initiation and evolution of several tornadic supercells in the 3 May outbreak, and it remains crucial for forecasters to monitor high-resolution data (namely WSR-88D, satellite, and profiler) to anticipate local areas of enhanced or diminished tornado threat.

Operational forecasts of supercell types and tornado potential will continue to be difficult for the foreseeable future, especially when the processes responsible for creating “favorable” supercell tornado environments occur on the mesoscale, or even storm scale. Profiler and radar-derived wind data each greatly aid the short-term forecast process by providing time sampling between synoptic rawinsonde launches. However, many supercell-type and tornado forecast parameters are sensitive to small changes ( $2\text{--}5\text{ m s}^{-1}$ ) in wind vectors and storm motion, which lends these parameters to misinterpretation. Still, the more general combinations of CAPE and vertical shear clearly supported tornadic supercells by the late afternoon and evening of 3 May 1999. This suggests that the background environment, as opposed to just storm-scale variations, can be a dominant controlling factor in regional tornado outbreaks.

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